

**Goa Vidyaprasarak Mandal's Gopal Govind Poy Raiturcar College of  
Commerce and Economics, Ponda Goa**

M.Com. Semester I

ISA I August 2024

**COM 501: Capital Markets and Stock Exchange Operations**

Time: 1 hour

Marks: 20

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Q.1. Answer **Any Five** of the following.

**(2 marks each)**

- a) Give names of any four companies that recently made IPOs in the Indian stock market. [BL1 CO1]
- b) How will compare day trading with short term trading? [BL2 CO1]
- c) What is cut off price? How it is different from ceiling and floor price? [BL1 CO1]
- d) What are the duties of merchant bankers and underwriters in the primary market? [BL1 CO1]
- e) Discuss Right issue. [BL1 CO1]
- f) What is the role of a broker to an issue? [BL1 CO1]

Q.2. Answer **Any Two** of the following.

**(5 marks each)**

- A. Explain the meaning and the process of book building. [BL1 CO1]
- B. Write on the recent trends in Indian stock market [BL5 CO1]
- C. Explain the Regulatory Framework for stock exchanges in India. [BL1 CO1]