

**Goa Vidyaprasarak Mandal's Gopal Govind Poy Raiturcar College of
Commerce and Economics, Ponda Goa**

M.Com. Semester I

ISA II SEPTEMBER 2024

COM 501: Capital Markets and Stock Exchange Operations

Time: 1 hour

Marks: 20

Q.1. Answer the following.

(5 marks each)

- a) What is the role of the SEBI in investor protection in the secondary market? [BL1 CO2]
- b) Discuss the functioning of the secondary market in India and explain the role of stock exchanges, regulatory bodies, and market participants in ensuring transparency, liquidity, and price discovery.

[BL2 CO2]

Q.2. Answer **Any one** of the following.

(10 marks each)

- A. Explain the procedure, merits and demerits of listing in Indian stock exchanges. [BL1 CO2]
- B. Explain the regulatory framework and defects in the Indian stock exchanges [BL5 CO3]