

G.V.M.'s Gopal Govind Poy Raiturcar College of
Commerce and Economics, Ponda Goa.

M.Com. Semester -I End Examination, November 2023

COM 501: Capital Markets and Stock Exchange Operations

Duration: 2 Hours

Total Marks: 40

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- Instructions:** 1) This paper consists of **six** questions carrying **equal** marks.
2) Question No. 1 consists of **5 compulsory** questions of **2 marks each**.
3) Answer **any three** questions from Q. No. **2,3,4,5 and 6**.
4) Each question carries **10 marks**. Figures to the **right** indicate marks.
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- 1. Answer the following.** **(5x2=10)**
- a) How Secondary market works for investors?
 - b) What is the difference between investment and trading of securities?
 - c) Distinguish between technical analysis and fundamental analysis?
 - d) Explain in brief about two major indices of Indian Stock Market.
 - e) What is market capitalisation?
- 2. Distinguish between Primary and Secondary Market.** **(10 marks)**
- 3. Explain clearing and settlement cycle in Indian stock exchanges.** **(10 marks)**
- 4. Explain online and offline surveillance in Indian stock exchange.** **(10 marks)**
- 5. Express your views on intraday trading of securities.** **(10 marks)**
- 6. Discuss:** **(10 marks)**
- a. Bulk deal
 - b. Block deal
 - c. Short selling
 - d. Margin trading