

GVM'S GGPR College of Commerce & Economics, Ponda - Goa

M.Com Semester I End Examination, January 2023

COTC 402 – Capital Market and Stock Exchange Operations

Duration: 2 Hours

Maximum Marks: 40

Instructions: 1.This paper consists of **6 questions** carrying equal marks.

2. Question **no.1** consists of **5 Compulsory questions of 2 marks each.**

3. Answer **any 3 questions** from question No. 2,3,4,5 and 6.

4. Each question carries 10 marks. Figures to the right indicate full marks

Q1. Write short note on: **(5 *2=10 Marks)**

- a) Investment
- b) Screen based trading system
- c) Market capitalization
- d) Margins in capital market.
- e) Portfolio diversification

Q2. Answer the following questions: **(2 *5=10 Marks)**

- a) What is primary market? Explain any four methods of flotation in primary market.
- b) Explain any five defects in working of Indian Stock Exchanges.

Q3. Answer the following questions: **(2 *5=10 Marks)**

- a) How market segmentation is done on NSE.
- b) Explain clearing and settlement process when you buy the shares with an example.

Q4. Answer the following questions: **(2 *5=10 Marks)**

- a) What is listing of securities? Explain any four merits of listing.
- b) Explain any five types of orders in Indian Stock Exchanges.

Q5. Answer the following questions: **(2 *5=10 Marks)**

- a) What is Stock Market Index? Explain its importance.
- b) Explain any five types of BSE Sectoral Indices.

Q6. Answer the following questions:

(2 *5=10 Marks)

- a) What is circuit breaker in stock market? Explain the types of circuit breaker.
- b) Explain systematic risk in stock market.

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