



M.Com. (Semester – IV) Examination, November 2014
ACCOUNTING AND FINANCE
CO4A5 : Management of Mutual Funds (OB – 10)

Duration : 2 Hours

Total Marks : 50

Instructions : 1) Q. No. 1 is compulsory.
2) Q. No. 2 to 5 carries internal choice.

1. Write short notes on the following : (5x2=10)
 - a) State the term front-end load, exit load and contingent deferred sales charges.
 - b) State any four roles of registrars and transfer agents.
 - c) State four features of Systematic Investment Plan.
 - d) State four regulations of SEBI under Mutual Funds Regulations Act, 1996.
 - e) Briefly state and explain two advantages and disadvantages of investing in Mutual Funds in India.

2. A) Explain the role and function of various constituents in structure of the mutual funds in India. 10

OR

B) Explain the role of Various Regulators in the Mutual Funds in India. 10

3. A) List out the contents of the Offer Document as per the format specified by SEBI. 10

OR

B) Explain the different types of mutual funds in India. Illustrate each with two examples. 10

4. A) Explain the rights and obligations of Trustees and AMC in Mutual Funds in India. 10

OR

B) Explain the challenges in Direct Distribution Channels of mutual funds in India. 10

5. A) State different phases in the history of mutual funds in India with major landmarks achieved during these phases. 10

OR

B) State the different investment plans offered by Mutual Funds in India. 10