



SDV – 18

**M.Com. (Semester – IV) Examination, April 2014**  
**ACCOUNTING AND FINANCE**  
**CO4A5 : Management of Mutual Funds**

Duration: 2 Hours

Total Marks: 50

**Instructions :** 1) Q. No. 1 is **compulsory**.  
2) Q. No. 2 to 5 carries internal choice.

1. Write short notes on the following : (5×2=10)
- a) List out all names of public sector mutual funds in India.
  - b) State rights and duties of the agent in marketing of mutual fund.
  - c) State the term front-end load, exit load and contingent deferred sales charges.
  - d) State the difference between systematic investment plans and voluntary accumulation plan.
  - e) State three usual ways of expenses recovered from load and no load funds.
2. A) Discuss sales practices in the Indian mutual fund market. 10
- OR
- B) Briefly explain the initial issue expenses that can be incurred by mutual fund companies in India as per SEBI regulations. 10
3. A) State mutual funds by nature of investment objectives and their classification. Illustrate with examples. 10
- OR
- B) List out the contents of the offer document as per the format specified by SEBI. 10
4. A) Explain the role of distribution companies, banks and NBFC's in marketing of mutual funds in India. 10
- OR
- B) Explain the role and functions of different parties in the structure of mutual funds. 10
5. A) State various investor services provided by mutual funds in India. 10
- OR
- B) Explain the concept and features of Exchange Traded Funds (ETF), Fund of Funds, Gilt Funds and Commodity Funds. 10