



SRN – 12

**M.Com. (Semester – IV) Examination, April 2018**  
**COO4A4 : MANAGEMENT OF FINANCIAL SERVICES (OA – 18)**

Duration : 3 Hours

Max. Marks : 60

- Instructions :** 1) This paper consists of **nine** questions carrying **equal** marks.  
2) Question No. 1 consist of **5 compulsory** questions of **2 marks each**.  
3) Answer **any five** questions from question **2, 3, 4, 5, 6, 7, 8 and 9**.  
4) **Each** question carries **10** marks. Figures to **right** indicate marks.

1. Answer the following short questions : (5×2=10)
  - a) Highlight some of the pre-requisites to Rematerialization Request.
  - b) Differentiate between Systematic Investment Plan and Systematic Transfer Plan.
  - c) What is CDSL ?
  - d) What is NSDL SPICE facility ?
  - e) What is Pledge and Hypothecation ?
2. A) Write a short note on Section 4 and Section 8 of the Depositories Act, 1996. 4  
B) Explain the process of Rematerialization. 6
3. Elucidate the Multi Stage Securitization Process with suitable examples. 10
4. A) Explain the key differences between a Depository and a Bank. 4  
B) Elaborate the key features of Depository System in India. 6
5. What do you mean by Pledge Invocation ? Explain the various steps involved in Pledge Invocation. 10
6. What is dematerialization of securities ? Explain in detail the process of dematerialization of securities. 10
7. What is mutual fund offer document ? Elaborate the contents of offer document as prescribed by SEBI. 10
8. Write a short note on mutual funds. Elucidate various merits of investing in mutual funds. 10
9. Explain in detail various mutual funds schemes with suitable examples. 10