



M.Com. (Semester – III) (Acct. and Fin.) Examination, November 2016
COO3A3 : FINANCIAL SERVICES (OA-18)

Duration : 3 Hours

Max. Marks : 60

- Instructions:**
- 1) This paper consists of **nine** questions carrying **equal** marks.
 - 2) Question No.1 consists of **5 compulsory** questions of **2 marks each**.
 - 3) Answer **any 5** questions from question **2, 3, 4, 5, 6, 7, 8 and 9**.
 - 4) **Each** question carries **10** marks. Figures to the **right** indicate marks.

1. Answer the following short questions in brief : (5×2=10)
 - a) List out four each of fund-based and fee-based financial services in India.
 - b) List out 4 services provided by credit rating agencies.
 - c) State two points of distinction between factoring and forfeiting.
 - d) State any 3 securitization instruments with 3 features of each.
 - e) State the distinction between Open Ended and Close Ended Mutual Funds and give examples.
2. Explain in detail the growth and development of financial services industry in India. 10
3. A) Explain the essential elements of a lease transaction. 4
B) Explain the modus operandi of a lease transaction. 6
4. Diagrammatically explain and bring out six differential features between Pass Through Certificates and Pay Through Securities. 10

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5. Explain the stages in venture capital financing and elaborate on the different modes of venture capital assistance. 10
6. Explain the general rating methodology followed by credit rating agencies for manufacturing Cos. 10
7. Explain the process of domestic factoring and bring out the features of different types of factoring. 10
8. A) What are the prerequisites for a dematerialization request ? 3
B) Explain the dematerialization process with a diagram. 7
9. Explain in detail the role and functions of different parties in the structure and management of mutual funds. 10