



SRN – 17

M.Com. (Semester – I) Examination, April 2017  
COC 104 : CAPITAL MARKETS (OA-18)

Duration : 3 Hours

Max. Marks : 60

- Instructions :** 1) This paper consists of **nine** questions carrying **equal** marks.  
2) Questions No. 1 consists of **5 compulsory** questions of **2 marks each**.  
3) Answer **any 5** questions from question 2, 3, 4, 5, 6, 7, 8 and 9.  
4) **Each** question carries **10** marks. Figure to **right** indicate marks.

1. Answer the following questions in brief : (5×2=10)
  - a) What is demutualisation of stock exchange ?
  - b) What do you mean by depository participant ?
  - c) What are the different types of offer documents ?
  - d) What is meant by due diligence for issue of securities ?
  - e) What do you mean by stock market Index ?
2. Explain the role of secondary markets in the Development of Indian Capital Market. 10
3. What is Listing of shares ? What are the minimum requirements for listing of shares on the stock exchange ? 10
4. What is book building process ? Explain the nature of book building process. 10
5. "Alternative investments provide broader diversification and a potential for reducing risk". Explain. 10
6. Explain the risk surveillance system at NSE and BSE. 10
7. What is an initial public offering ? Discuss the guidelines for online IPO's in the Indian Stock Exchange. 10
8. What is Margin ? Explain the different types of margin. 10
9. What are role of various participants in the new issue market ? 10