

**Goa Vidyaprasarak Mandal's
GOPAL GOVIND POY RAITURCAR COLLEGE OF COMMERCE
AND ECONOMICS
FARMAGUDI, PONDA-GOA**

**M. COM. (SEMESTER – I) EXAMINATION, NOVEMBER 2013
CO103 CAPITAL MARKETS**

Duration: 2 hours

Total Marks: 38

Instructions: All questions are compulsory.

Q.1] Briefly answer the following:

(3 x 2 = 6 Marks)

- a) Rights Issue
- b) Pay-out
- c) Stock Exchange

Q.2.A] What is an IPO? Discuss the guidelines for online IPO's in the Indian stock exchange.

(8 Marks)

OR

Q.2.B] Discuss the recent Trends in Primary Market.

(8 Marks)

Q.3.A] Write a note on NEAT trading system on NSE.

(8 Marks)

OR

Q.3.B] Discuss the salient features and the advantage of OTCEI to investors.

(8 Marks)

Q.4.A] Discuss the Surveillance system at NSE and BSE.

(8 Marks)

OR

Q.4.B] Explain the functions of an Index. Discuss the Methodologies for calculating the index.

(8 Marks)

Q.5.A] Discuss investor protection in the primary market.

(8 Marks)

OR

Q.5.B] Explain the functions of National Association of Securities Dealers Automated Quotations.

(8 Marks)

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