

B - 705

(Pages : 01)

SDV - 37

[Total No. of Questions : 5]

M.Com. (Semester - I) Examination, Nov. - 2011
CO 103 : CAPITAL MARKETS

Duration : 2 Hours

Total Marks : 50

- Instructions :** 1) *All questions are compulsory.*
2) *Figures to the right indicate full marks.*

- Q1)** Answer the following: [5 × 2 = 10]
a) Primary Market Vs Secondary Market
b) Kinds of Offer Documents
c) Explain the new instruments in Capital Markets.
d) What is Demutualisation of Stock Exchange?
e) Objectives of SEBI
- Q2)** a) What is Book Building? Explain its process. [10]
OR
b) What is Listing of Securities? State the legal provisions relating to Listing?
- Q3)** a) Explain the Risk Management and Surveillance on NSE. [10]
OR
b) What is Index? Explain the types of Indices.
- Q4)** a) What are new instruments in Capital Market? Explain its significance. [10]
OR
b) What is Foreign Institutional Investment (FII)? Explain the factors affecting FII investments.
- Q5)** a) Discuss the powers and functions of SEBI. [10]
OR
b) What are the disclosures described by the SEBI for investor's protection?

