

Goa Vidyaprasarak Mandal's
GOPAL GOVIND POY RAITURCAR COLLEGE OF COMMERCE AND
ECONOMICS
PONDA- GOA

B.COM. CBCS (**SEMESTER III**) SUPPLEMENTARY EXAMINATION
DECEMBER, 2020

INDIAN CAPITAL MARKETS (GE 3)

Duration: **2 Hours**

Marks: **40**

Instructions:

1. *All questions are compulsory.*
2. *Answer **ANY TEN** sub-questions in **Q1** in **not more than 50 words each**.*
3. *Answer **ANY FOUR** sub-questions in **Q2** in **not more than 250 words each**.*
4. *Figures to the right indicate maximum marks to the questions.*

Q1.

10x2=20

- i. What are securities?
- ii. Write a note on FPOs.
- iii. Explain the role of SEBI in the Indian Capital markets.
- iv. What is an offer for sale?
- v. List the steps involved in the process of listing of securities in the secondary markets.
- vi. When are shares allotted?
- vii. Explain the role of the clearing house in the Indian stock exchange.
- viii. Explain any two concepts associated with derivatives.
- ix. What does investor protection mean?
- x. What are IPOs?
- xi. Explain the significance of the stock market indices.
- xii. Explain any two functions of merchant banks.
- xiii. Explain any two advantages of listing of securities.
- xiv. What is the use of derivatives in speculation?
- xv. List the various types of derivative products.
- xvi. Explain the term hedging.

Q2.

4x5=20

- a. Explain the recent developments in the Indian Capital Markets.
 - b. Explain the process of public issue in the primary market.
 - c. Explain the regulations laid down by SEBI for Merchant Banks.
 - d. Explain the process of listing in the stock exchange.
 - e. Explain the grievance redressal mechanism for investors in the secondary markets.
 - f. Explain the various benefits and risks associated with derivatives.
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